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## PHOTOLUMINESCENCE STUDIES OF $C_{60}$ SINGLE CRYSTALS

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**Abstract** We present luminescence studies of solid  $C_{60}$  for different morphologies such as  $C_{60}$  films, polycrystalline  $C_{60}$  powder, and  $C_{60}$  single crystals. The number of spectrally resolvable peaks is highest for the single crystals as a consequence of reduced inhomogeneous broadening. The fluorescence spectrum of  $C_{60}$  single crystals is composed of several defect- or surface-related  $C_{60}$  emission centres. The fluorescence lines belonging to each of these so-called X-traps show the characteristic vibronic structure of molecular  $C_{60}$  emission, i.e., they reflect the dominant  $T_{1g}$ -related false origins.

## INTRODUCTION

Since fullerenes have become available in macroscopic quantities, the physical and chemical properties of this novel material system have attracted significant attention. Due to its high symmetry and rigidity, the  $C_{60}$  molecule stands out among most other molecules and exhibits characteristic electronic and optical properties. Photoluminescence spectroscopy is one of the most powerful tools to investigate the optical properties of isolated  $C_{60}$  molecules and of crystalline  $C_{60}$ . Many groups have studied the photoluminescent properties of  $C_{60}$  molecules in solution<sup>1,2</sup> and of solid  $C_{60}$  in different morphologies such as films, polycrystalline powder,<sup>3–12</sup> and single crystals.<sup>13</sup> However, different optical spectra have been reported even for nominally equal morphologies. Thus, the microscopic interpretation of the fluorescence spectra, in particular for the solid phase, has been controversial up to now. In this paper, we show that the differing optical spectra are a direct consequence of X-trap fluorescence from solid  $C_{60}$ .

For all  $C_{60}$  systems, fluorescence has been observed covering the spectral range from approximately 650 nm to 1  $\mu\text{m}$  at low excitation intensities. The corresponding fluorescence quantum yields are approximately  $10^{-5}$  for  $C_{60}$  in solution and  $7 \cdot 10^{-4}$  for solid  $C_{60}$ .<sup>2,5</sup> The low quantum yield is a consequence of the long radiative lifetime  $\tau_{S_1-S_0}$  of the dipole-forbidden singlet exciton recombination and the fast transfer of excitons from singlet to triplet states (intersystem crossing). Accordingly, the temporal decay of the fluorescence is governed by the time constant  $\tau_{S_1-T_1} \approx 1.2$  ns

for the singlet-triplet transfer<sup>14,15</sup> and not by the radiative singlet exciton lifetime  $\tau_{S_1-S_0} \approx 1.8\mu\text{s}$ .

Recently, Negri et al.<sup>16</sup> were able to interpret the vibrational structure of the emission spectrum of *molecular*  $C_{60}$  *in solution*.<sup>2</sup> These authors showed that the fluorescence spectrum can be explained in a Herzberg-Teller scheme, i.e., the lowest singlet excited state  $T_{1g}$  acquires ungerade character from energetically higher  $T_{1u}$  states by adiabatic vibronic coupling and thus the optical  $S_1 - S_0$ -transition becomes partially dipole-allowed.<sup>16</sup> As a consequence, so-called false origins determine the peak positions in the fluorescence and absorption spectra of  $C_{60}$ . However, only two false origins ( $209\text{ cm}^{-1}$  separated from each other) originating from  $t_{1u}$  and  $h_u$  vibronic couplings have appreciable oscillator strengths and are expected to dominate the optical spectra. In addition, Negri et al.<sup>16</sup> showed that the activities of gerade vibronic modes to induce progressions in the fluorescence spectrum are modest ( $a_g$  modes) or even negligible ( $h_g$  modes).

A comparison of the fluorescence spectra published so far for the various *solid*  $C_{60}$  systems<sup>1-13</sup> shows that the shapes of the spectra, peak intensities and even peak positions are not identical for all systems. It therefore seems that interactions of  $C_{60}$  molecules with the particular environment influence the luminescent electronic states and/or that distinct chemical impurities themselves contribute to the luminescence spectrum.<sup>6,8</sup> For solid  $C_{60}$ , the number of spectrally resolvable peaks obviously depends on the morphology of the  $C_{60}$  material.<sup>1-13</sup> Therefore, only high-quality single crystals should allow us (i) to resolve the vibronic structure of the fluorescence spectrum of solid  $C_{60}$  and (ii) to understand the influence of defects, surfaces, and impurities on the  $C_{60}$  fluorescence spectrum in more detail.

## EXPERIMENTAL

$C_{60}$  was produced by the carbon-arc method of Krätschmer and Huffman.<sup>17</sup> The fullerene containing soot was extracted and chromatographically purified by a proprietary process. The  $C_{60}$  used is 99.4% pure. This material was sublimed in an inert gas atmosphere to give crystals of 1–2 mm diameter. The thin films were sublimated from  $C_{60}$  in ultra-high vacuum onto quartz substrates. To avoid oxygen contamination all  $C_{60}$  samples were kept under Argon atmosphere before mounting them in an evacuated helium flow-cryostat to perform low-temperature luminescence experiments. The excitation photon energy is 2eV and we use low excitation intensities of 1 mW focused to a spot size of approximately  $100\text{ }\mu\text{m}$  in diameter.

## RESULTS

The influence of the morphology of solid  $C_{60}$  on the emission spectrum is clearly seen in Fig.1a-c, where normalized fluorescence spectra taken at  $T=10\text{ K}$  are shown for a  $C_{60}$  film (a), polycrystalline  $C_{60}$  powder (b), and for a  $C_{60}$  single crystal (c). The spectrum of the  $C_{60}$  film exhibits only two strongly broadened emission bands at approximately 730 nm and 810 nm. It is reasonable in the case of the film to assume that fluorescing  $C_{60}$  molecules are located in statistically varying environments leading to pronounced inhomogeneous broadening effects in the optical spectra. The number of resolvable fluorescence peaks drastically increases in the case of the polycrystalline powder and, in particular, for the single crystal. The series of well-resolved luminescence peaks for the single crystal is a consequence of reduced inhomogeneous broadening of the individual optical transitions. At present, it is not clear, whether the linewidth  $\Gamma \approx 22\text{ meV}$  (FWHM) for the fluorescence peaks in Fig.1c is mainly

due to inhomogeneous or homogeneous broadening. However,  $\Gamma \approx 22$  meV is an upper limit for the homogeneous linewidth corresponding to a lower limit of 60 fs for the dephasing time  $T_2 = 2\hbar/\Gamma_{hom}$ . Recently, Brorson et al.<sup>18</sup> performed sub-picosecond four-wave mixing experiments on a  $C_{60}$  film at low temperature in order to determine the dephasing time. However, they could only give an upper limit of  $T_2 \approx 100$  fs. If we assume that the dephasing rate is not sensitive to different  $C_{60}$  morphologies, this, together with the linewidth data yields a dephasing time  $T_2$  between 60 fs and 100 fs for the lowest  $S_1 - S_0$  transition in solid  $C_{60}$  corresponding to a range of the spectral width from 13 meV to 22 meV for the homogeneous linewidth.

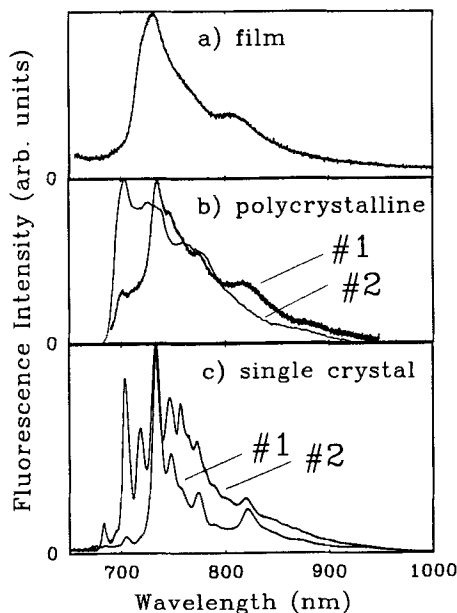


FIGURE 1: Photoluminescence spectra taken at  $T=10K$  for different morphologies of solid  $C_{60}$ : (a)  $C_{60}$  film, (b) polycrystalline  $C_{60}$  powder, and (c)  $C_{60}$  single crystal. Spectrum #1 of Fig.1b is taken from Ref. [9]. Spectra #1 and #2 of Fig.1c are taken for different positions of the excitation light on the same single crystal.

Spectrum #1 of Fig.1b for polycrystalline  $C_{60}$  powder is taken from Ref. [9]. The spectrum peaks at approximately 730 nm and shows a similar but more structured shape than the fluorescence spectrum of the  $C_{60}$  film shown in Fig.1a. The fluorescence spectrum of the polycrystalline  $C_{60}$  material synthesized as described above is labelled #2 and peaks at approximately 703 nm, i.e., at shorter wavelengths than spectrum #1. In the case of the single crystal we also find differing spectra. The difference between spectrum #1 and spectrum #2 shown in Fig.1c is only the spatial position of the laser excitation spot on the single crystal. This spatially inhomogeneous behavior of the fluorescence spectrum definitely shows that inhomogeneously distributed crystal imperfections such as chemical impurities or crystal defects influence the luminescence process. In a spatial scan over the crystal mostly spectra

similar to the one labelled #1 in Fig.1c are observed. In addition, such a spatial scan shows that a change in intensity for a particular fluorescence line is connected with a simultaneous change in intensity of a spectrally adjacent emission line. In other words, the spectrum seems to be composed of several pairs of emission lines spectrally separated by about 33 meV.

We state that each pair of fluorescence lines originates from  $C_{60}$  molecules in a particular crystal environment. The main line at approximately 732 nm is interpreted as fluorescence from  $C_{60}$  molecules located in a perfect  $C_{60}$  environment, i.e., it stems from bulk  $C_{60}$ . Other pairs of peaks are assigned to  $C_{60}$  molecules adjacent to chemical impurities, to crystal defects, or to crystal surfaces. The imperfect crystal environment induces an energetic shift of the electronic states and thus of the fluorescence of the particular  $C_{60}$  molecule. However, the defect does not change the characteristic vibronic structure of the fluorescence of the adjacent  $C_{60}$  host molecule. Such *defect related luminescence from host molecules* are known for anthracene single crystals<sup>19–21</sup> and are called X-traps.

As mentioned, Negri et al.<sup>16</sup> showed that a pair of emission lines corresponding to  $1437\text{ cm}^{-1}$   $t_{1u}$ - and  $1646\text{ cm}^{-1}$   $h_u$ -related false origins are indeed expected to dominate the fluorescence spectrum of molecular  $C_{60}$ . The calculated spectral spacing between these two false origins is 26 meV,<sup>16</sup> whereas the measured fluorescence spectrum of molecular  $C_{60}$  in solution provides a spacing of 31 meV<sup>2,16</sup> in good agreement with the observed spacings for the  $C_{60}$  single crystal in Fig.1c (as shown below).

A more quantitative analysis of the single crystal fluorescence data is presented in Fig.2. The two spectra of Fig.1c are redrawn in the upper parts. The pair of emission lines at 732 nm and 747 nm are assigned to the  $t_{1u}$ - and  $h_u$ -related false origins for bulk  $C_{60}$  emission. The fluorescence line at 821 nm is interpreted as a  $1469\text{ cm}^{-1}$  totally symmetric  $a_g$  progression.<sup>3,5,12</sup> In Table 1, the experimental values for the two false origins ( $t_{1u}$  and  $h_u$ ) are listed in the second and third column and in the row labelled C. In addition, the spectral spacing  $\Delta \approx 34\text{ meV}$  between the two experimentally observed  $t_{1u}$  and  $h_u$  false origins is given in the last column of Table 1. Knowing the spectral positions of the  $t_{1u}$  and  $h_u$  false origins, the true 0-0 origin can be calculated.<sup>16</sup> We obtain 1.871 eV for the 0-0 origin as listed in the first column of Table 1. This value must be compared to 1.893 eV for  $C_{60}$  molecules in solution.<sup>2,16</sup> The minor difference of 22 meV shows that band-structure effects mediated by van der Waals interaction<sup>22</sup> do not cause a pronounced red-shift of the fluorescing excitonic transitions in solid  $C_{60}$ .

Three distinct pairs of emission lines corresponding to three distinct  $C_{60}$  X-traps are clearly observed when scanning over the single crystal. These X-traps are labelled  $X_1$ ,  $X_2$ , and  $X_5$  in Table 1. The  $\Delta$ -values for these X-traps are comparable to the one found for bulk  $C_{60}$  emission (compare with row C). There seem to be at least two more emission centres in the spectral range around 750 nm. However, their identification is more difficult since the corresponding false origin emission lines overlap. As can be seen in Table 1 we assume two further X-traps  $X_3$  and  $X_4$  to account for the intensity variations around 750 nm. Due to the spectral overlap we cannot directly identify the  $h_u$ -related fluorescence lines of the  $X_3$ - and  $X_4$ -traps from the spectra shown in Fig.2. In order to gain more confidence in our assignment, we have tried to simulate the experimental spectra #1 and #2 shown in the upper parts of Fig.2.

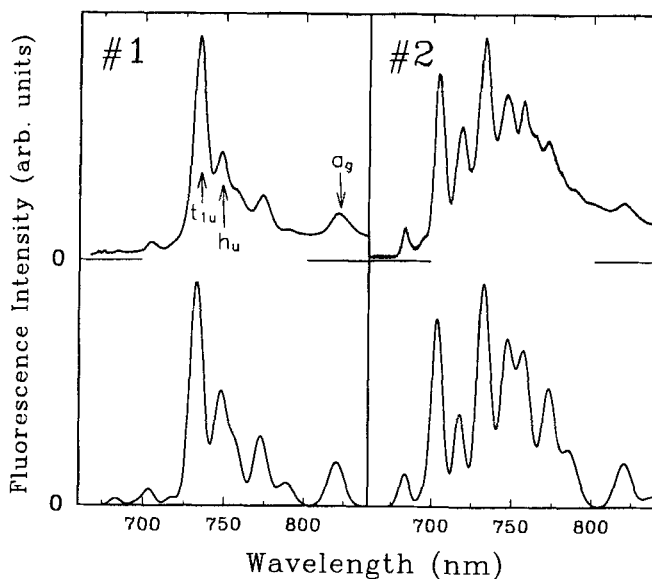


FIGURE 2: Upper part: Same experimental fluorescence spectra as shown in Fig.1c. Lower part: Simulated fluorescence spectrum according to the model described in the text.

	0 - 0	$t_{1u}$		$h_u$		$\Delta$
	eV	nm	eV	nm	eV	eV
<b>X<sub>1</sub></b>	<b>1.993</b>	<b>683.2</b>	<b>1.815</b>	<b>695.1</b>	<b>1.784</b>	<b>0.031</b>
<b>X<sub>2</sub></b>	<b>1.940</b>	<b>703.7</b>	<b>1.762</b>	<b>718.4</b>	<b>1.726</b>	<b>0.036</b>
<b>C</b>	<b>1.871</b>	<b>732.4</b>	<b>1.693</b>	<b>747.4</b>	<b>1.659</b>	<b>0.034</b>
<b>X<sub>3</sub></b>	<b>1.839</b>	<b>746.5</b>	<b>1.661</b>	-	-	-
<b>X<sub>4</sub></b>	<b>1.816</b>	<b>757.0</b>	<b>1.638</b>	-	-	-
<b>X<sub>5</sub></b>	<b>1.781</b>	<b>773.5</b>	<b>1.603</b>	<b>789.8</b>	<b>1.570</b>	<b>0.033</b>

TABLE 1: List of emission centres (C: bulk  $C_{60}$ ,  $X_i$ : X-traps). The values for the two false origins,  $t_{1u}$  and  $h_u$ , are taken from the experimental fluorescence spectra, whereas the true origin 0-0 is calculated using the  $t_{1u}$ -frequency from Ref. [16].  $\Delta$  is the spectral spacing between the two experimentally determined false origins.

For each emission centre ( $X_1 \dots X_5, C$ ) listed in Table 1, we assume that only the  $t_{1u}$  and  $h_u$  false origins and the  $1469 \text{ cm}^{-1} a_g$  progression contribute to the fluorescence spectrum. For each emission centre, the relative strengths of these three fluorescence

lines are assumed to be 10 : 5 : 2. Taking into account a linewidth broadening of 22 meV for all emission lines, we then superimpose the 'sub-spectra' of all emission centres listed in Table 1. In order to account for the different spectral shapes of spectra #1 and #2, we vary the contribution, i.e., the overall strength of each 'sub-spectrum'. The lower parts of Fig.2 show two calculated fluorescence spectra constructed in such a way.

The main features of the experimentally observed spectra are well reproduced. Fluorescence spectra observed at other crystal positions (not shown in Fig.1 and 2) can also be reproduced using our simple model. Altogether, we find two X-traps ( $X_1$  and  $X_2$ ) at higher photon energies and three X-traps ( $X_3$ - $X_5$ ) at lower photon energies as compared to the energetic position of the bulk  $C_{60}$  emission (C). The fact that fluorescence from higher energy X-traps ( $X_1$  and  $X_2$ ) can be observed means that excitation transfer from these X-traps to, e.g., low-energetic bulk  $C_{60}$  molecules is hampered at low temperature. We attribute these X-traps at higher energy to surface-related exciton states as is the case for crystalline anthracene.<sup>23</sup> The low-energetic X-traps are most likely due to isolated  $C_{60}$  molecules or clusters of  $C_{60}$  molecules around a defect.

Our findings demonstrate that the optical properties of crystalline  $C_{60}$  exhibit similarities to other molecular crystals and provide a conclusive explanation for the different optical spectra reported so far.

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